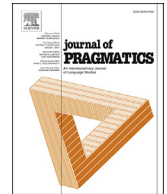


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Face-saving strategies and the burden of opioid policy enactments: When physicians' compliance makes patients non-compliant

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ABSTRACT

The escalation of the opioid epidemic in the United States has sparked sweeping legislation meant to regulate physicians' opioid prescribing practices. The demands of such policies force physicians to initiate discussions that could jeopardize the collaborative doctor–patient relationships necessary for curbing inappropriate opioid prescriptions. Drawing on sociopragmatics, this discourse analysis study of primary care interactions examines the face-saving linguistic features employed by physicians in negotiating the line between policy demands and maintaining collaborative relationships. The findings reveal several face-saving acts—pseudo requests, downtoners, broadening, redirection, tag questions, impersonalization, listing, and (negative) imagery—used by physicians when enacting the three most prominent policies: (1) monitoring opioid use, (2) prescribing anti-overdose medication, and (3) transitioning patients from opioids to alternative treatment. Informed by Goffman's concept of “face-work,” this study provides evidence of the communicative burden placed on physicians implementing disagreeable opioid policies, as well as opening up discussions on how policymakers and medical institutions can support physicians in implementing opioid policies.

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1. Introduction

The opioid epidemic in the United States has prompted policymakers all over the country to pass sweeping legislation addressing physicians' prescribing practices (Al Achkar et al., 2017). The crisis has also prompted numerous multidisciplinary investigations analyzing medical interactions involving chronic pain and prescription opioids, which continually provide overwhelming evidence that collaborative relationships—devoid of conflicts and disagreements—facilitate treatment goal-setting and reduce inappropriate opioid prescribing (Henry et al., 2016; Hood-Medland et al., 2021).

Despite ever-increasing interest in both areas, the relationship between policies and their linguistic enactments has been overlooked; therefore, policymakers have missed valuable opportunities to assess implementation at a discursive level and identify pressing issues in need of attention. For instance, the demands laid out by policies force physicians to initiate potentially tense exchanges about overdose and addiction, conceivably jeopardizing the collaborative relationships necessary for effective pain management. Grounded in sociopragmatics—the study of meaning expressed through language and its relationship to context (Sifianou and Tzanne, 2021)—this study uncovers the discursive strategies physicians use when

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discussing a topic as fraught with difficulty and controversy as opioids. This study bridges policy with local interactions, using discourse analysis (DA) to outline the face-saving practices physicians employ while carrying out increasingly restrictive opioid policies.

The analysis of this study is also couched within Goffman's (1955) concept of face-work—that is, the constant negotiation, construction, and protection of one's desired social persona, as well as that of their listeners. Through the discourse analysis of audio-recorded primary care visits, this study seeks to answer the research question *What face-saving discourse features or practices do physicians employ when enacting opioid policies?* The investigation found three different policies that consistently came into play during these interactions, within which were eight salient face-saving discourse features employed by participating physicians.

Recognizing the challenging aspects of opioid-related discourse foregrounds policy issues that need addressing, opening up discussions for how policymakers and medical institutions can support physicians in enacting opioid policies. Moreover, examining how physicians use language to interpret and enact opioid policies provides a sociopragmatic understanding of the dilemmas physicians face, as they know very well that their adherence to restrictive opioid policies may result in the non-compliance of patients. This study locates itself within the burgeoning body of work interested in using discourse analytic approaches to uncovering the pragmatics and meaning-making process involved in local health policy enactments (Martínez, 2008; Ramanathan, 2010; Evans-Agnew et al., 2016).

2. Related literature

The stigma associated with discussing opioids and addiction has made healthcare communication surrounding pain fraught with difficulties (Arborelius and Thakker, 1995) for both providers (Matthias et al., 2013) and patients (Torres et al., 2020; Roberts and Kramer, 2014; Henry et al., 2017). Patients taking opioids have claimed that they were made to feel as if they were “drug addicts,” “junkies,” and “heroin users” (Dassieu et al., 2021, p. 5); meanwhile, physicians found opioid discussions to be equally complex, citing reasons such as fear of resistant behavior (Mistral and Velleman, 2001), distrust of patients who initiate opioid-related conversations (Hughes et al., 2015), doubts surrounding patients' willingness to disclose substance dependence or abuse (van Boekel et al., 2013), and lack of training (Klamen, 1999). Such a highly charged issue as opioids pose a challenge to physicians who have to negotiate between enforcing restrictive policies patients find disagreeable and maintaining collaborative relationships integral to treating chronic pain.

2.1. Face, face threats, and face-work

Erving Goffman (1955, p. 213) is the pioneering theorist who conceptualized “face” as an individual's desired “positive social value” derived from “approved social attributes.” Goffman, whose research in psychiatric wards exemplifies the shared history between face and medical interactions, described the harmful or inaccurate portrayal of one's face as “threats” (p. 217) and the actions one takes to maintain or save face as “face-work” (p. 216). Brown and Levinson's ([1978]1987, p. 61) elaboration of Goffman's thesis bridges the notions of face and politeness, differentiating “positive face” (the “desire to be appreciated”) from “negative face” (the “desire to be free from imposition”) as motivations for “politeness”—the mitigation, avoidance, disarming, and presupposing of “face-threatening acts” (FTAs).

Although Brown and Levinson's contributions served as a sounding board for subsequent face research, their work received criticism for its incompatibility in cross-linguistic discourses (Matsumoto, 1988; Terkourafi, 2012) and perceived inattention to the impact social identity has on self-image (Bogdanowska-Jakubowska, 2010, p. 217). O'Driscoll (2017, p. 90) also questioned Brown and Levinson's sometimes interchangeable, sometimes causal treatment of face and politeness, claiming that face is a trait speakers own while politeness is a behavior speakers perform. Spencer-Oatey's (2008, p. 12) “rapport management” framework sought to address these issues by incorporating context-specific goals and expectations, along with face, as necessary components for maintaining harmonious relationships. Locher and Watts' (2005, p. 10–12) also proposed the alternative “relational work” framework, which describes one's efforts to create or maintain a socially mediated face. Although theoretically distinct, the overwhelming similarities between rapport management and relational work have led to studies describing both as “virtually synonymous with face-work in its widest sense” (O'Driscoll, 2017, p. 101; see also Sifianou and Tzanne, 2021, p. 259), but without the association to Brown and Levinson's individual-centric take.

While there are considerable disagreements concerning the relationship between face-work and politeness, there seems to be consensus acknowledging these concepts as contextual (Culpeper, 2011), constantly negotiated (Ting-Toomey and Kurogi, 1998), and distinct from one another (Watts, 2003). Thus, this study broadly situates itself at the center of these parallels, adopting Spencer-Oatey's (2008, p. 14) more holistic take on face as an individual's “sense of worth, dignity, and identity, associated with one's status, reputation, and competence.” Spencer-Oatey (2008, p. 21) considers face management vital to maintaining harmonious relationships during face-threatening discourses, such as “raising sensitive topics.” This study also adheres to Locher and Watts' (2005, p. 10–12) view that face is constructed discursively through interactions between individuals engaged in relationship negotiation, as opposed to Brown and Levinson's ([1978]1987) position in which

face inherently resides in the individual. This interpersonal view of face is in keeping with this study's goal of identifying face-work strategies, whether or not their subjective functions are to express politeness (good manners).

2.2. Face-work in medical discourse

The very nature of the occupation pushes physicians to initiate face-threatening discussions, from asking value-laden and sensitive questions to providing potentially demoralizing evaluations (Benkendorf et al., 2001). Aronsson and Sätterlund-Larsson (1987) even referred to medical interactions as a social choreography where patients and physicians constantly dance around sensitive discussions through tactics like embedding requests into suggestions, hedging through the use of modal verbs, and providing preemptive apologies. Ultimately, they argue that such a dance begets negative consequences on the joint decision-making of patients and physicians.

Various discourse features used by physicians in discussing similarly charged topics as opioids have been identified in the literature. Lutfey and Maynard (1998) highlighted oncologists' use of euphemisms and avoidance of the words “death” and “dying” when breaking the news to cancer patients that they are no longer treatable. Similarly, Wilkinson and Kitzinger's (2000) observation of focus group meetings found the redirection of cancer talk toward positive thinking and the use of words such as “positive” and “positively” as discursive coping mechanisms used by female patients. Meanwhile, Epstein et al. (1998) found evidence of incoherence and fractured speech in physician speech when discussing HIV diagnoses. Caffi's (1999) analysis of doctor-patient transcripts have called attention to physician's use of downtoners such as “just” or “by any chance” in asking difficult questions, and the inclusive *we* to lessen social distance and cushion the weight of mandates. Lastly, Clark and Hudak's (2011) study of orthopedic surgeons revealed that the use of (1) tag questions like “You know?” positions patients as complicit to their recommendations; (2) redirections to standard practice help mitigate the intensity of treatment plans, and (3) general case descriptions (referred to here as broadening) or the referencing of patient's group membership (e.g., “younger patients” or “lots of folks”) as justification for treatment suggestions.

The current study adds to the existing literature that pays attention to the language of medical discussions surrounding sensitive topics, underscoring the importance of recognizing and addressing face threats as a critical first step in improving doctor-patient discourse.

2.3. Opioid policies

This study uses Torres' (2021, p. 2) linguistically viable definition of policy: “chunks of language (discourse) made up of lexical and grammatical features that denote a suggestive intent of regulatory measures and courses of action concerning a given issue. Torres (2021) showed how the language used in framing opioid policies has become increasingly restrictive as the epidemic worsens in the United States. As stricter policies surrounding opioid prescriptions are implemented, discussions about opioids are becoming more tense, both for physicians who are under heightened scrutiny and for patients whose opioid treatments are changed or requests rejected (Henry et al., 2016).

By calling attention to specific face-saving features physicians employ when complying with new health directives, this study contributes a physician-centered analysis to the existing literature on face-work, which has until now primarily focused on patient discourses (Ainsworth-Vaughn, 1998). Although this work focuses solely on primary care consultations in California, studies on policy-driven face-work and politeness routines are not unique to the medical space and can be replicated for almost all workplaces and institutions where policies exist.

3. Methodology

This study analyzes the audio recordings of doctor-patient interactions at the City Medical Center (CMC; a pseudonym). CMC is an ideal setting because the organization considers balancing pain treatment and drug diversion one of its top priorities.

The logistics involved in recruitment and data handling are compliant with the criteria outlined in the Health Insurance Portability and Accountability Act (HIPAA) and were conducted under the guidance and approval of CMC's Institutional Review Board. Recruitment started by first enrolling attending and resident physicians into the study to ensure that only the patients of participating physicians were recruited for this project. In residency clinics and teaching hospitals, residents are medical school graduates who are continuing their specialty or general practice training under the supervision of a more experienced attending or faculty physician. A total of 30 physicians provided consent and enrolled in the study. The next step was to recruit the enrolled physicians' patients who receive prescription opioids for chronic pain. Patients receiving opioids for cancer pain were excluded since the policies and the context in which they use opioids are different from those of chronic pain patients.

Patients were recruited in waiting rooms after they checked in with the front desk. Once the rooming process of a consenting patient was finished, the researcher entered the examination room to check in with that patient, answer any final questions they may have about the study, and set up the recording device. The recorder was positioned away from the patient's line of sight to avoid causing distractions. Then, the researcher stepped out of the room, leaving only the patient and their companion (if present) in the room to wait for the physician. The researcher was not in the room during the recording to avoid any discomfort by the participants. At the end of the visit, the researcher returned to the room to end the recording, retrieve the recorder, and administer a post-appointment survey eliciting relevant information on the patient's linguistic background. The average appointment duration was 1 h.

Ultimately, three patients who fit the criteria agreed to participate in this study. Table 1 outlines relevant self-identified information provided by the participants in their post-visit questionnaires.

Table 1
Participants' self-identified information.

Resident physician		Patient	
Pseudonym	Age	Pseudonym	Age
Dr A	29	Pt A	40
Dr B	30	Pt B	52
Dr C	28	Pt C	69

Note: In the excerpts presented, Com refers to the patient's companion while Att refers to the attending or faculty physician overseeing the resident.

The choice to look at a small number of participants is appropriate in investigations involving language-in-action, as it requires and allows for a thorough analysis of the discourse contexts and features in question (Schilling-Estes, 1998). All participants expressed that English is their native and preferred language in medical appointments. All physicians were considerably younger than their patients, making age differences consistent enough to potentially mitigate some age-related or generational variation. Later in this study, I advocate for future explorations to emphasize sociolinguistic variations among and within diverse groups—from race groups and self-identified gender to education and social status, among many others—as more data become available. The outcomes of this study are not intended to be descriptive of all opioid-related interactions; rather, the findings are reported based on their potential to inform future interactions of a similar nature and to highlight the challenges institutions impose upon policy stakeholders when not enough attention is given to the language required to implement potentially contentious policy mandates in medical interactions.

Transcriptions were organized into speaking turns—all utterances of a speaker before another mediates (Sacks et al., 1978)—instead of individual utterances because physicians enacted one policy at a time, which means all utterances within a single turn covered the same policy. Aside from efficiency, speaking turns allows for a widely accessible representation of data in this study. Transcripts were prepared by the researcher with the help of three research assistants trained in discourse analysis and certified by the Collaborative Institutional Training Initiative. Each transcriber worked on the recordings separately before coming together to settle discrepancies, adding another layer of reliability check.

Segments of sample excerpts shown here, including suprasegmental features, were trimmed for readability and brevity in respect of the publication's length limitations. Care has been taken to guarantee that the omissions, which are indicated by three dots " ... ", have no bearing on the features presented. Unabridged transcripts can be found in Torres (2022b) or by request from the author.

3.1. Policies

Three newly chaptered state policies, detailed in Table 2, consistently emerged from the recordings. These policies were also incorporated in the agreement both patient and physician must sign before initiating an opioid regimen (Brown et al., 2014).

Table 2
State opioid policies analyzed in this study.

New policy	Description
1. Continual monitoring/heightened surveillance of opioid use.	Physicians must subject patients to routine drug tests to ensure adherence to opioid regimen.
2. Co-prescribing anti-overdose medication with opioids.	Physicians must prescribe opioid antagonists like naloxone (e.g., brand name Narcan) alongside opioids.
3. Transitioning to alternative treatment/Weaning patients off opioids.	Physicians must discuss alternatives to narcotics, such as non-steroidal anti-inflammatory drugs (e.g., ibuprofen), acupuncture, and other procedures (e.g., trigger point injections). Physicians must conduct additional tests to identify potential source of pain (e.g., MRI).

Note. These policies can be found in Brown et al. (2014).

3.2. Analytic approach

Discourse analysis—the study of language relative to the context in which it is used (Gee, 2011)—was chosen as primary analytic approach because the research question calls for the understanding of interlocutors' meaning-making processes beyond the syntactic level; such an understanding includes the motivations behind eliciting certain pragmatic practices and the communicative means through which these discursive agendas are realized (Kampf, 2015, p. 3; Johnstone, 2018). As Woods (2014) explained, DA allows researchers to make sense of the motivations behind the language choices of healthcare providers. Moreover, DA captures the complexity of medical interactions in a way that can be made accessible to a general audience, including healthcare providers, without watering down the substance.

The specific analytic approach used in this study is essentially the same as Benkendorf et al.'s (2001, p. 202) “data-driven approach” and Roberts and Sarangi's (2005, p. 632) “theme-oriented approach” to studying medical encounters. With the help of the recordings and transcripts, instances in which the physicians used face-work to enact opioid policies were identified. The process required assessing the range of functions served by each turn relative to the context of the ongoing exchange. In other words, identifying face-work meant making sense of the linguistic choices made by physicians. This strategy is derived from Van Dijk's (1999) context model framework—a schema designed to reduce the complexity of social situations by probing language relative to the available contextual information (see Torres, 2022a). The identified features were systematically classified as connections and similarities between them became apparent. This way, the data dictates the categories, not forced into pre-identified ones. As is typical in discourse analysis, findings could either be novel or features prior studies have already found in other contexts (e.g., discourse markers, downtoners, and broadening, among others discussed in Section 2) and are, thus, identified as such.

4. Results and discussion

Eight face-saving features emerged from the physicians' speech as they enacted the three CMC policies outlined in Section 3.1. These findings are summarized in Table 3.

Table 3
Face-saving acts used by physicians in enacting CMC's opioid policies.

New CMC opioid policies	Pseudo requests	Downtoners	Broadening	Redirection	Tag questions	Impersonalization	Listing	(Negative) Imagery
Continual monitoring/Drug testing	✓	✓	✓	✓	✓			
Co-prescribing naloxone		✓	✓	✓	✓	✓		
Transition to alternative treatment			✓	✓	✓	✓	✓	✓

In the following subsections, I go through each strategy and provide excerpts exemplifying their use in the enactment of opioid policies.

4.1. Pseudo requests

Participating physicians used pseudo requests—imperatives and mandates presented as requests and suggestions instead of direct, declarative commands (Benkendorf et al., 2001)—to save face when subjecting their patients to drug tests. Excerpts A and B show examples of pseudo requests, indicated by the bolded text.

- (A)
- A1 Dr. A: Okay. They're also going to give you the flu shot, and **we'll have to do a urine test. Is that okay with you?**
- A2 Pt. A: Uh huh.
[Physician leaves then re-enters the room.]
- A3 Dr. A: Alright, **can you get your lab checked real quick upstairs?**
- A4 Pt. A: No, I'm already runnin' too late.
- (B)
- B1 Dr. B: Okay, my instruction for you today is that **maybe you have time to go upstairs to do some quick labs?**
- B2 Pt. B: [Laugh] [inaudible] Why don't you just keep me here?
- B3 Dr. B: [Laugh]... It should be really quick. I know it's been a lot for you today. It's just, you know, it's just, it's our policy and it's a government mandate to do these labs if you're getting prescribed with narcotics. It's all for your safety.
- B4 Pt. B [inaudible] killing me. No. [laugh] I'm joking.
- B5 Dr. B: It's, it's just rules, you know? And I know you've been a good patient. It's just routine unfortunately. In fact, it's in our contract, remember?

In both A1 and B1, the physicians' enactment of the drug testing policy started in the declarative form yet ended as questions. Dr. A's sudden pivot stood out because it ensued immediately after their mention of *flu shots* and *urine test* as near collocates, evoking some sort of pragmatic equivalency between urine toxicology screenings and the more mundane seasonal flu shots. On top of that, their use of the modal phrase *we('ll) have to do* added some sense of necessity to the drug test. Similarly, Dr. B's request seemed non-negotiable at first before hinting at some tentativeness with the word *maybe*. As Dr. B said in B5, being subject to regular drug screening is incorporated in the agreement patients sign before starting an opioid regimen. However, seeking the patient's approval and posing the mandate as a question mitigates the intensity of the command. This observation is in line with Benkendorf et al.'s (2001) study of counseling discourse, which shows that genetic counselors use pseudo requests to politely facilitate their clients' decision-making, making it seem as if they arrived at a decision together. While treating patients as individuals with agency could help foster collaborative relationships, framing mandates as requests makes them vulnerable to rejection, as seen in A4, or pushbacks, like in B2 and B4. This policy provides a clear example of the irony between physician compliance resulting in patient's non-compliance.

Another noteworthy quality of the pseudo requests found in the data was that they co-occurred with discourse markers such as *okay* and *umm* in Lines A1 and B1. Beach (1993; 2020) wrote extensively about oncologists' use of discourse markers like *okay* as transition devices that delicately end patients' extended turns while simultaneously signaling alignment as patients feel heard.

4.2. Broadening

I use the term “broadening” to describe the three strategies or features employed by physicians to widen the scope of ongoing discussions: (1) Audience generalization, (2) inclusive pronouns, and (3) modal verbs.

4.2.1. Audience generalization

I refer to the process of generalizing the intended recipient of policy demands as audience generalization. We have already encountered this process in B3 when Dr. B clarified that the drug test is not an isolated event, rather, it is a policy that applies to Pt. B because of their group membership—as individuals receiving prescription opioids. Physicians also used audience generalization when co-prescribing the anti-overdose drug, naloxone to preempt possibilities of patients feeling targeted or misjudged. Take, for example, Excerpt C below. The bolded text represents broadening.

(C)

- C1 Dr. B: Do you have a question about the naloxone or the Narcan that **we're offering to every patient?**
 C2 Com: What is that?
 C3 Dr. B: It's a medication, a nasal spray, that reverses an opioid overdose... **everyone gets it since we are experiencing an opioid crisis.**
 C4 Pt. B: [laughing] I don't [inaudible] any medication [inaudible] as a matter of fact.
 C5 Dr. B: Yeah, yeah, no, I get that ... **we're offering it to all our patients that get, um, opioid prescriptions.**

In C1, rather than volunteering information, Dr. B. solicited from Pt. A, a request for additional information about naloxone. The strategy stood successful, as demonstrated by the companion's question in C2, ultimately leading to Pt. B introducing the overdose medication as a question response in C3. The laughter and defensive reaction in C4—when Pt. B expressed not needing such medication *as a matter of fact*—suggests decisive disagreement. However, the physician saved face by constantly referencing a broader audience.

4.2.2. Inclusive pronouns

The physicians also used inclusive pronouns like *we* and *our* to frame policy enactments as a joint or collective effort. Take, for example, Excerpt D:

(D)

- D1 Dr. C: I need you to do some blood work
 D2 Pt. C: Okay. I will.
 D3 Dr. C: One of them is for Norco. **We** just need to do that [drug test] every so often.

Here, Pt. C already agreed to completing the blood work, yet Dr. C felt the need to specify that one of them is for screening opioids. In D3, Dr. C further softens the endeavor imposed on the patient by stating that the test is not done often, coupled with the inclusive *we*, which contrasts the pronoun *I* in D1. Inclusive pronouns mainly occurred during physicians' enactment of the policies on drug screening and suggesting alternative treatment. One way to test the pragmatic weight of the features discussed in this study is to assess the alternatives. Fig. 1 contrasts the physicians' use of inclusive pronouns (shaded) against “non-inclusive” alternatives (clear).

The contrast highlighted in Fig. 1 illustrates physicians' use of inclusive pronouns to signal, if not solicit, alignment, consistent with earlier studies describing their effectiveness in reducing social distance (Caffi, 1999). This study contributes a

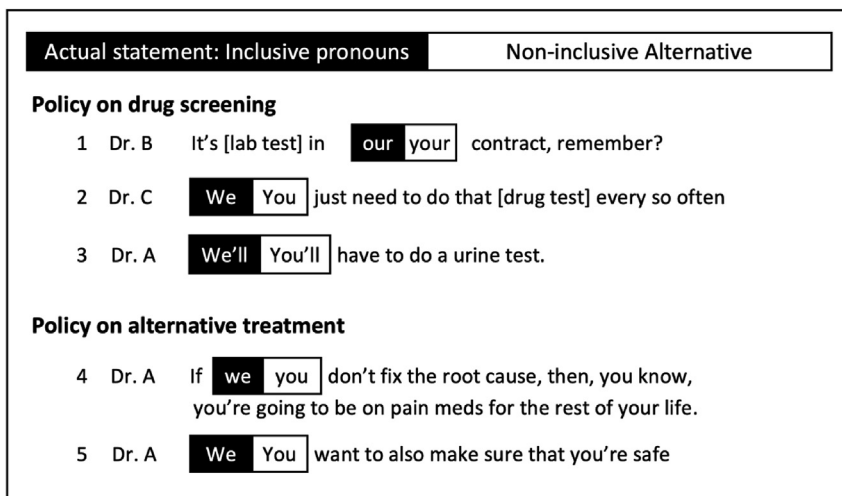


Fig. 1. Physician utterances with and without inclusive pronouns.

policy perspective to the discussion, demonstrating the use of *we* and *our* as face-saving tools that reframe policy enactors as stakeholders or recipients subjected to policies.

4.2.3. *Modal verbs*

The last broadening tool physicians used was modality. The use of modal verbs in medical discourse has been shown to suggest physicians' willingness to negotiate (Brown and Levinson, [1978]1987). Modals have also been shown to convey optionality in policies because their permissive and restrictive qualities allow stakeholders to reevaluate what would otherwise be straightforward directives (Torres, 2021). Fig. 2 shows just that—the absence of modals renders unmitigated commands. The shaded segments show the physicians' use of modals, while the clear segments present modal-less alternatives (clear).

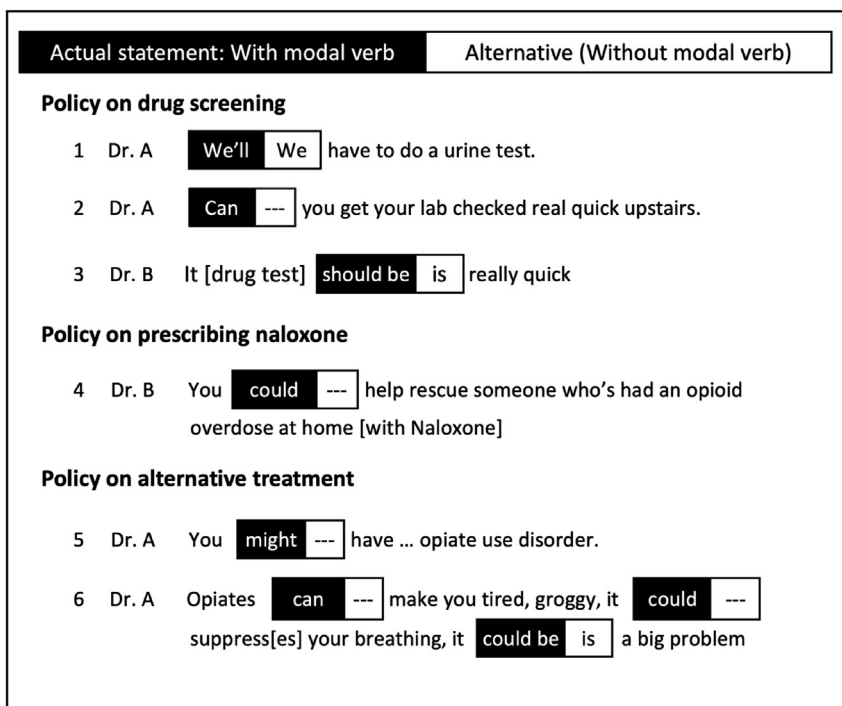


Fig. 2. Physician utterances with and without modal verbs. Note. For the alternative versions, the second-person pronouns can also be omitted with the modal verb, as what usually happens when their referent is apparent to the interlocutors.

As shown in the first two examples in Fig. 2, adding modals in commands conveys malleability, leaving them susceptible to rejection. Modals have the same effect on statements. For instance, saying *it should be really quick* (in Fig. 2) allows patients to consider instances in which drug tests are not quick, as opposed to *it is really quick*, which evokes a higher degree of certainty. These findings show that modals function as a broadening tool in verbal policy enactments just as much as what has been shown in written health policies (Torres, 2021).

4.3. Impersonalization

This study adopts Rundblad's (2007) description of impersonalization—a rhetoric device used in scientific writing to frame subjects as if they could have been anyone else—into the context of face-work in spoken discourse. Impersonalization is often associated with agentless passive constructions in written communication (Brown & Levinson, [1978]1987, p. 273), but, as this study will show, impersonalization emerges in myriad other ways—from repairs to wordplay—in spoken interactions. The bolded text in Excerpt E are examples of impersonalization:

- (E)
- E1 Dr. A: Yeah so, we also gave you naloxone. This is an injection that you take—
 E2 Pt. A: —I got a whole bunch of boxes of that—
 E3 Dr. A: ...if you, **if, if a, if there's concern for overdosing, on any of the other ... like Norco or even like**, you know, **other substances like heroin or anything like that, other things that may**, you know? It's still important to have this just in case.
 E4 Att: Yeah, it's just required by the law.

Because common knowledge of healthcare transactions suggests that physicians prescribe the medication one needs, prescribing naloxone may be perceived by patients as insinuating that they misuse prescription opioids or heroin. To avoid coming across as accusatory, Dr. A avoids mentioning any definite human agent (i.e., one who overdoses) or experiencer (i.e., one who suffers an overdose) in E3. Dr. A's first mention of *you* in E3 may have initially positioned Pt. A as the agent. Still, the physician immediately engaged in a repair—the altering of meaning or direction of ongoing speech—to obfuscate any identifiable agent, as shown in the ensuing syllabic repetition: *if, if a, if*. The motivation to impersonalize demonstrates just how complicated it is to implement opioid policies.

The following example shows a segment of Dr. A suggesting alternative treatment. Specifically, Dr. A was discussing the harmful effects of opioids to convince Pt. A into trying alternative remedies.

Dr. A: you can come to the hospital cause you—**some people** can get, um, overdose on the opiates. **there's a risk** of sudden death

Here, the physician engaged in a repair by immediately inserting the phrase *some people* after uttering the pronoun *you*. Then, Dr. A continued to omit identifiable agents while discussing overdoses: *there's a risk of sudden death as well*. Without impersonalizing through repair and agent deletion, the ensuing phrase would have been *You're at risk of sudden death*.

More examples of impersonalization are found in (F), represented by the bolded text.

(F) Additional examples of impersonalization:

- F1 Dr. B: [naloxone] reverses an opioid overdose. **It also works for heroin overdoses ...**
 F2 Dr. B: ... It's just that **no one really plans for an overdose**, you know?
 F3 Dr. B: In fact, what I tell people, you know, is that you could, you know, help rescue **someone who's had an opioid overdose** at home **or even heroin overdose** in the streets in your community.

In F1, Dr. B avoided using an agent as they volunteered the information that Narcan is just as effective in reversing overdoses from heroin as it is with prescription opioids. Patients may wonder why their physician, who knows they already take opioids for pain, would bring up heroin. As much as the discussion was already face-threatening, it could be worse if Dr. B had explicitly associated Pt.2 with heroin. Other examples of impersonalization would be Dr. B's use of no one in F2 and someone in F3. F3 is unique because it shows Dr. B employing both impersonalization in the context of overdosing and its opposite (i.e., framing the subject as an active participant) in the context of helping others. This seemingly simple yet innately complicated construction allowed Dr. B to frame Pt. B as someone who could save rather than be saved.

4.4. Redirection

Another strategy physicians use to mitigate looming opioid discussions is to divert the conversation toward alternative, less-threatening paths. For instance, physicians broached tapering discussions by redirecting their patients' attention toward opioid side effects—a list too long that it gives physicians plenty of opportunities to initiate tapering discussions. For example, Excerpt G shows a physician addressing their patient's complaint about itchiness by citing opioids as its likely cause in order to initiate tapering discussions.

- (G)
- G1 Dr. C: The one thing I could think of on your list that would make you itchy is your Norco.
 G2 Pt. C: No, I don't think so cause I had the Norco after I started itching.

Here, Dr. C used redirection to shift the discussion from the patient's complaint about itchiness to opioid side effects. Using the lengthy list of opioid side effects as the reason for other medical issues provides physicians plenty of opportunities to discuss alternative pain treatment. The existing literature on medical communication involving opioids has hinted at the use of redirection in enacting policies. For instance, [Henry et al.'s \(2019\)](#) research recognized situations in which patients presented negative evaluations of opioids or made mention of their side effects as promising opportunities for physicians to broach the subject of lowering opioid doses or starting non-opioid treatments. The same approach is shown in G1, except it was the physician, not the patient, who gave a negative criticism of opioids in an attempt to redirect the conversation toward alternative treatment. Another way physicians initiated the discussion of tapering is by redirecting their patient's attention toward addressing the actual source of pain, which is shown in Excerpt H.

(H)

- H1 Dr. A: Um, and we kind of discussed maybe pursuing an MRI of your low back to see if maybe there might be some pain related to that low back area and the spine.
 H2 Pt. A: Well, I haven't had nothing done to my—
 H3 Dr. A: Yeah, but sometimes if you have bilateral pain in your legs, that could be from the nerves in your back.
 H4 Pt. A: But this pain [inaudible] for so long?
 H5 Dr. A: Yeah. I also was wondering if maybe, you might have what we kind of talked about last time, like opiate, opiate use disorder. Basically your brain gets tolerant to a high dose of opiates, and then, in order to control that pain, you need more opiates, but it's kind of a downward spiral where your brain gets used to being on more —
 H6 Pt. A: — No , No. It's like damned if I do and damned if I don't.
 H7 Dr. A: Yeah, yeah, yeah.

In H1 and H3, the physician attempted to shift the ongoing discussion toward pursuing an MRI to narrow down the potential source of Pt. A's pain. When met with contention, Dr. A tried redirecting the patient's attention toward addressing opioid use disorder, suggesting tolerance as a contributing factor to her pain and need for more opioids. The conversation ensues with a defeated response from Pt. A in H6, *It's like damned if I do and damned if I don't*. Dr. A's vague and seemingly defeated response in H7 is indicative of how difficult conversations about opioids can be. The physician's use of euphemism through medical terminologies such as *opiate use disorder* is a face-saving feature that has already been extensively discussed in face-work literature and, thus, is not dealt with in this study.

Physicians also employed redirection to minimize their responsibility in mandating drug tests and co-prescribing naloxone, using phrases such as: *It's our policy and it's a government mandate* (in B3), *It's just rules you know. It's just routine unfortunately. In fact, it's in our contract, remember?* (in B5), *Yeah, it's just required by the law* (in E4).

Circling back to Brown and Levinson's ([1978]1987, p. 61) differentiation between positive and negative face, redirection allows physicians to save positive face by preempting blame in addition to also protecting the patients' negative face by providing them with an alternative entity on which they can take out their frustrations. Redirection has been found to be a discourse feature therapists use when responding to blame ([Friedlander et al., 2000](#)). The term *redirection* also fits with what [Clark and Hudak \(2011, p. 386\)](#) describes as the act of formulating treatment decisions as if they are “products of impersonal logic involving standard practice or what one tends to do.”

Lastly, it is also worth pointing out that physicians also embellished their redirection with lexical items that signal alignment with the patient. For instance, the word *unfortunately* in “It's just routine unfortunately” allows physicians to convey empathy and solidarity over some ill-fated experience. By conveying that they share their patient's view and recognize their predicament, physicians are able to position their stance closer to patients and further from policies.

4.5. (Negative) imagery and listing

The participating physicians broached the face-threatening discussion of reducing their patients' opioid dosage by organizing their justifications into lists. According to [Jefferson \(1990\)](#), lists are discourse tools that, when used in speech, render convincing messages because they signal thoroughness, completion, and comprehensiveness. [Jefferson \(1990\)](#) introduced the idea of “three-part lists” after observing that lists are often comprised of three separate entities. [Dori-Hacohen \(2020, p. 307\)](#) expanded on this idea by proposing using “long lists” with more than three entities to sound even more compelling. To be even more convincing, physicians also peppered such lists with words that could evoke undesirable images. These lexical choices form what [Tannen \(2007\)](#) refers to as imagery, a discourse tool that creates involvement and shapes imagination. In Excerpt I, Dr. A used listing and negative imagery to reason with Pt. A, who was making a case not to lower her opioid dosage. [Fig. 3](#) offers a visual summary of the lists found in (I).

(I)

- I1 Pt. A: Um, I just want something for I can get up and be on my legs. And that's all I'm asking; I'm not asking for a lot. But, you know what? I might be scared that you might discontinue them [Norco], but I want to be able to walk. That's the only thing.
 I2 Dr. A: Right. So, I have a few options, okay? So, number one, ... we really want to know why you're having pain... If we don't fix the root cause, then, you know, you're going to be on pain meds for the rest of your life. That's one thing.
 I3 Pt. A: Mhm.

- 14 Dr. A: The second thing is ... opiates can make you tired, groggy, it could suppress your breathing,... It could be a big problem. And even being on a certain amount of opiates, there's a risk of sudden death as well, which have been shown in multiple studies. So one thing to do is make sure we control your pain with the right medications, but not have you be sedated, groggy, and also have that risk for sudden death, right? I mean that's a scary thing.
- 15 Pt. A: Mhm.
- 16 Dr. A: So ... buprenorphine. It doesn't suppress your respiratory drive, your breathing, it has a good effect on pain control ... lower risk of sudden death
- 17 Pt. A: Mhm.
- 18 Dr. A: Is that something that you might be interested in converting to? Buprenorphine? ...good for you in terms of pain ...less of the side effects of the opiates and- reduce your risk sudden death as well.
- 19 Pt. A: Um, yeah, we can do that... next month.

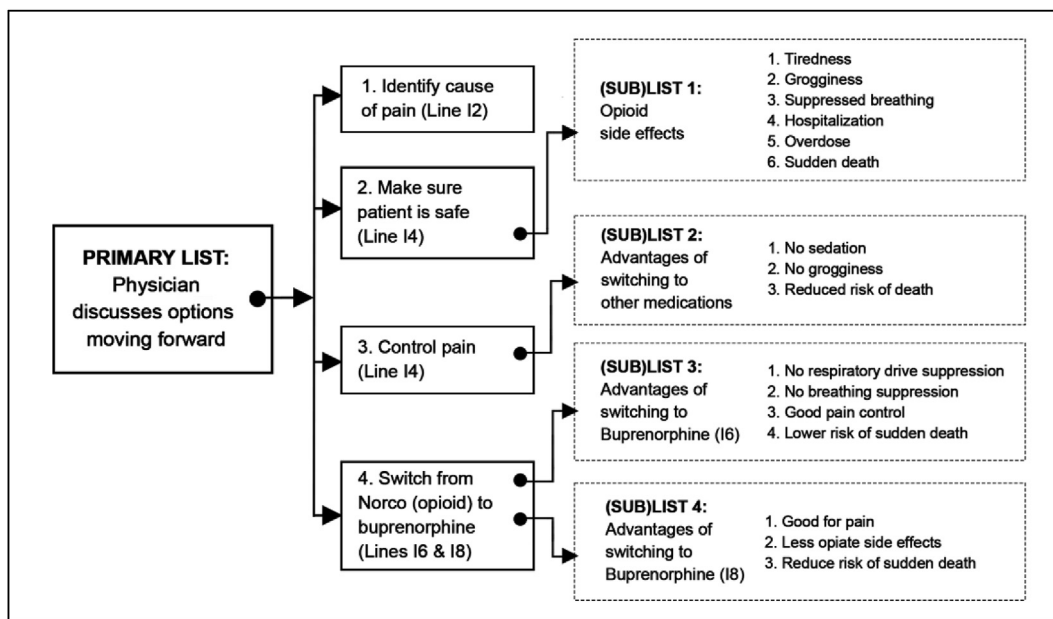


Fig. 3. Illustration of lists found in Excerpt (1).

This complex exchange starts with Pt. A belaboring the importance of continuing her opioid medication with the help of quantifying terms—“I only want *one* thing”, “that’s *all* I’m asking”, “not asking for *a lot*”, and “that’s the *only* thing”. Pt. A also repeatedly used the verb *want* in a single turn to describe (1) the opioids that help her get out of bed and (2) the ability to walk painlessly. Combining *want* with words that denote ability, like *can* and *able*, suggests that the patient is associating opioids with her capacity to walk, leaving the physician the challenging task of reducing opioid prescriptions without seeming as if they are depriving the patient the ability to walk.

In I2, Dr. A mirrored Pt. A’s use of quantifying terms and organized their arguments in a list: “I have a *few* options, “number *one*”, “that’s *one* thing”, “the *second* thing”. The perception of thoroughness associated with organized lists could also add some weight to the response. In this case, Dr. A’s response suggests that they find giving multiple reasons the appropriate response for convincing Pt. A. Otherwise, Dr. A would have stopped at the first reason they gave in I2. As [Jefferson \(1990\)](#) pointed out, lists allow speakers to evoke a sense of credibility.

Embedded within the primary list are sublists offering additional support to the arguments being made. For instance, the physician’s enumeration of the six opioid side effects in I4 provides more context to their second main point, conveying the sense of thoroughness unlikely to come with the short and singular alternative. Another observation of sublists is their similarity to written lists in terms of pauses (commas) and coordinating conjunctions (*or* and *and*). This may be due to sublists being comprised of entities with apparent connections that only make sense if they appear together, within a turn, as opposed to the overarching list that goes on across turns.

The physician’s word choices could also contribute or trigger certain images and emotions that could motivate patients to wean off opioids or to be open to finding alternative treatment. For example, aside from medical jargon inaccessible to

patients, Dr. A listed the side effects with phrases like *big problem*, *right medication*, *scary thing*, *shown in multiple studies*, and *make sure you're safe*. It is also noteworthy that the physician always followed the word *sudden* with *death*. Since sudden events are likely unplanned, Dr. A is suggesting that a fatal overdose could occur without necessary warnings, even when the patient feels safe or secure about their dose.

4.6. Tag questions

Interrogatives like *you know?*, *right?*, *okay?* and *remember?*, which are found in many of the excerpts presented thus far, are called tag questions. According to Lakoff (1973), tag questions elicit approval and collaborative thinking at times of tentativeness due to the speaker's lack of confidence and certainty. In this study, physicians' tentativeness does not come from lack of policy knowledge but from the uncertainty of how patients may react to said policies. As for collaborative thinking, there were examples in which physicians used *you know?* to engage patients in collaborative guesswork, eliciting patients to fill in the blanks and finish their turns.

Take, for example, the following examples in which the physicians bring up the benefits of naloxone regarding heroin overdose.

Dr. A: if there's concern for overdosing... like, **you know?**, other substances like heroin or anything like that, other things that may, **you know?** It's still important to have this just in case.

Dr. B: It's [naloxone] a medication, a nasal spray, that reverses an opioid overdose. It also works for heroin overdoses, but, **you know?**

Here, both physicians used tag questions when bringing up heroin. Particularly after the words *like*, *may*, and *but*, which meant the utterance in progress had not been completed. The physicians are using tag questions in these examples to tap into some shared knowledge that they know is recognizable to the patient. If patients confirm through words or gestures that they understand what "you know" refers to, then the physicians may not need to say what is too face-threatening to mention or at least mitigate the tension if they decide to finish their statement.

4.7. Downtoners

Similar to tag questions, downtoners (i.e., words that mitigate the semantic weight of face-threatening discussions like *just*, *maybe*, *some*, and *kind of*) were also a recurring observation in the excerpts presented in this study. Here again are some of them.

Dr. A: Can you get your lab checked **real quick** upstairs?

Dr. B: **maybe** you have time to go upstairs to do **some quick** labs?

Dr. B: It [drug test] should be **really quick**. It's **just**, you know, it's **just**, it's our policy

Dr. B: It's- It's **just** rules, you know? ... It's **just** routine, unfortunately.

Dr. C: We **just** need to do that [drug test] **every so often**.

In these examples, the physicians used *just* to inject a level of mundanity into their mandates and downplay the significance of having to undergo routine tests, receive naloxone, and discuss alternative treatments. Quirk et al. (1985) describe downtoners as a specific form of intensifier aimed at decreasing a proposition's intensity and, therefore, minimizing the significance of a particular FTA (see also Aijmer, 2002; Lee, 1987). In line with this definition, this study also considers phrases intended to modify time (e.g., *every so often*, *quick labs*, *real quick*, and *sometime*, among others) as downtoners minimizing the role of time to solicit cooperation. In this study, references of time occurred during discussions of lab testing. By specifying that the lab tests are regular and quick, the physicians are able to impress upon their patients that drug tests are common and untroubling.

5. Discussion

This study investigates the face-saving features used by physicians while enacting restrictive opioid policies. The question is motivated by the physician's dilemma that simply enacting policies can be perceived by patients as distrusting, shaming, and suspecting of their pain (Dassieu et al., 2021). Through DA, the observed communicative practices unveiled physicians' constant negotiation between maintaining collaborative relationships and policy demands. A total of eight face-saving features were found in the study. Table 4 provides a summary of the face-saving features physicians employed and the potential roles such discourse features play in opioid discourse.

Aside from the primary results, this study also expands on existing literature focusing on discourse features, face-work, and doctor-patient interactions in the following ways.

Table 4
Summary of face-saving acts.

Face-saving acts	Description	Potential role in opioid discourse
Pseudo requests (Benkendorf et al., 2001)	Reframing imperatives and mandates into suggestions, questions, or requests.	To frame treatment decisions as collaborative.
Downtoners (Quirk et al., 1985)	Using lexical items that decrease the intensity of the message, such as <i>just</i> , <i>maybe</i> , or <i>kind of</i> .	To mitigate potential consequences and avoid causing panic and distress.
Impersonalization (Rundblad, 2007)	Using an ambiguous reference for actions or events supposedly for the listener, as if they were talking about someone else.	To discuss overdose and dependence without referencing the patient.
Broadening - Audience (Brown and Levinson, 1987)	Explaining that listeners are subject to certain actions as a result of their group membership and not a malicious, targeted, or isolated event.	To signal authority and credibility for decisions and suggestions that affect the patient.
Broadening - Scope (Brown and Levinson, 1987)	Distancing one's participation or sole contribution to an event or a decision, such as the use of the inclusive words <i>we</i> and <i>our</i> .	To signal collaborative decision-making or past agreement toward a proposition.
Broadening -Interpretation (Torres, 2021)	Using modal verbs such as <i>may</i> or <i>would</i> to broaden the interpretive spaces of propositions.	To introduce some sense of optionality mitigating the intensity of a command.
Tag questions (Lakoff, 1973)	Asking confirmation questions, such as <i>Okay?</i> and <i>You know?</i> to seek approval and confirmation.	To signal collaborative thinking, bring up supposedly recognizable information, and maintain alignment.
Listing (Dori-Hacohen, 2020; Jefferson, 1990)	Organizing information to convey thorough knowledge of the topic.	To enumerate credible justifications behind policy demands.
Redirection (Friedlander et al., 2000)	Diverting conversations toward alternative paths such as discussing the source of pain instead of pain itself. Referencing policies to minimize one's involvement with policy demands	To frame pain as an entity that originates from a particular source and is therefore treatable. To posture as someone who is likewise subject to rules, resulting in shared experiences that help preserve collaborative relationships in moments where patients disagree with the suggested treatment.
(Negative) Imagery (Tannen, 2007)	Shaping the ways in which hearers imagine and make meaning out of images.	To further justify policy enactments and add convincing power to treatment suggestions.

Note: Collectively, these features share one common purpose: maintaining collaborative relationships and preempting arguments or disagreements.

1. Lists indicate plurality, which makes listing a suitable discourse feature for communicating legitimacy, adding a sense of fullness, or highlighting the multitude of what is being said. Spoken lists further benefit from the use of sequencing verbiage such as *number one* and *the second thing is*.
2. In line with Quirk et al.'s (1985) definition of downtoners by function because they minimize the role of time needed for drug tests.
3. Highly technical and unexplained scientific language or medical jargon can contribute to (negative) imagery.
4. Modals are just as much a broadening tool in spoken interactions as previous studies have observed in written discourse (Torres, 2021).
5. Impersonalization, a process that has been previously documented in written health discourses (Rundblad, 2007), is just as salient in verbal medical exchanges.
6. Impersonalization, a process commonly associated with passive construction in written text, emerges in myriad other ways—from repairs to wordplay—in spoken interactions.

As can be gleaned from the findings, the general analytic approach used here is in line with contemporary approaches that consider the relational or interpersonal nature of face (i.e., Locher and Watts, 2005). The context in which these interactions occurred helped pinpoint the potential FTAs and the strategies used to address them. For example, patient responses are one of many factors that could affect physician strategies; as shown in the physicians' use of audience generalization and redirection whenever patients push back in Excerpts C and H, respectively. In other words, context aids in elucidating probable reasons for physicians' linguistic choices. Spencer-Oatey (2008, p. 37) also names "sociality rights and obligation"—the right to expect certain outcomes and the obligation to carry out certain actions—as an essential contextual factor for maintaining harmonious relationships. This research shows how cooperative relationships may be jeopardized when patients' expectations and doctors' obligations conflict. When it comes to discussions about opioids, patients assert their right to treatment, while physicians confront the conundrum of denying these rights to fulfill their obligation not to endanger their patients.

Since face-work is about mitigating threats and seeking agreement, it seems necessary to discuss patient compliance. Patients were non-compliant with trying alternative treatments and agreeing to reduce opioid dosage. When it came to the drug screening, Pt. A did not comply, while Pt. B agreed after initially pushing back. In perhaps the smoothest transaction in this study, Pt. C complied with the screening without any contentions. As can be gleaned from the excerpts, the discussion of naloxone was met with pushback from all patients, but since the physicians prescribed them, this study does not have the information on whether they picked up the medication. On that note, further investigation using a more extensive data pool could help uncover which features, or permutations thereof, effectively meet policy demands or result in compliance. Doing so could offer solutions to common quandaries such as choosing whether to give orders through pseudo requests, which was prone to rejection in this study, or through unmitigated imperatives. The physicians noted that they often get briefed on new policies but do not consistently receive guidance on communicating them. Another factor potentially at play here is the presumption that comes with handing physicians, whose reputations include enduring years of medical school, a printout of sample dialogues. Further investigations are needed to examine physicians' sensitivity when receiving guidance and whether it would be more effective and efficient if the current memos they receive are replaced with equally informative, ready-to-use templates that physicians can personalize.

Although the taxing nature of enacting opioid policies can be easily gleaned from the presence of false starts, paraphrasing, and repairs in the transcript, future investigations should be undertaken to describe the prosodic and suprasegmental features associated with face-work in discourses concerning health and policy issues. While linguistic backgrounds were controlled for in this study, analyzing a larger corpus of interactions with additional emphasis on cultural identities and structures is important, as it can offer an alternative lens through which healthcare policies and interactions involving controlled substances could be examined. The findings of this study are encouraging and should be validated by a larger sample size in future investigations. The prospect of improving how institutions could assist policy stakeholders (e.g., medical professionals and front-line workers) in the discursive aspects of enacting policies serves as a continuous incentive for future research in this space.

6. Conclusion

By identifying physicians' face-saving strategies, this study calls attention to the challenging nature of having to implement opioid policies without jeopardizing collaborative partnerships with patients. Looking at the bigger picture, the socialization process physicians must endure every time a new policy emerges could be streamlined, either at the state policy level (through the codification of directives requiring institutions to offer communication support) or at the institutional and departmental levels (by providing some guidance on communicating policies to patients). Most policies focus only on the *What?* while brushing off the *How?* of policy implementation. Policymakers have demanded that physicians reduce their opioid prescribing but have not acknowledged the difficulty of figuring out the best way to broach this topic with patients. How do physicians enact policies if their compliance leads to patients' non-compliance? This study corroborates the arguments made by policy researchers—including [Ramanathan \(2005\)](#) and [Canagarajah \(2005\)](#)—that it is through the examination of policies from a bottom-up perspective that the disconnect between policy demands and local enactments emerges.

The need for improved communication opens opportunities for collaborations between medical institutions that adapt state policies into their practices, physicians who interact with chronic pain patients, and linguists whose limited exposure to the space provide a fresh yet critical perspective. Such a practical approach to enacting policies could indeed improve the efficacy of pain management and minimize the amount of face-work performed by physicians.

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Data availability

Data will be made available on request.

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